Products rule

SQS certified/assessed management system

1. Introduction

SQS has established the terms and conditions as well as the rights and obligations in connection with its services (namely auditing, assessment, certification and training) in its «Regulation for SQS services and warranty marks».

In the present «Products rule», SQS regulates the specific procedure and the conditions for obtaining and maintaining «Certified management systems and assessed management systems» for the following normative basis. The «Products rule» rank alongside the «Regulation for SQS services and warranty marks».

Details on the products can be found under www.sqs.ch.

The products listed below that are certified or assessed by SQS are subject to the conditions of implementation of this rule, unless otherwise/supplementarily defined for the product (subject to change).

1.1 Accredited certification systems for management systems

Management system standards
- ISO 9001
- ISO 14001
- ISO 45001
- ISO 50001
- AQAP 2110 (NATO-QS-requirements)
- ISO 21001
- EduQua (Further education)
- IN-Qualis (Labour market measures)
- ISO 22000
- FSSC 22000
- FAMI-QS
- DIN EN 15593
- ISO/IEC 20000-1
- ISO/IEC 27001 (ISO/IEC 27017, ISO/IEC 27018, ISO/IEC 27701)
- EN 9100/AS 9100D
- EN 9120/AS 9120B
- SN EN ISO 3834-2
- SN EN ISO 3834-3
- SN EN ISO 3834-4
- ISO 15378
- SN EN ISO 13485
- ISO 37001
- IATF 16949
- FSC-STD
- FSC COC FSC-STD

Guidelines/Regulations
- VDSZ (SR 235.13) Data Protection Management Systems (DSMS)
- SR 930.114 (Pressure Equipment Ordinance 2014/68/EU)
- SR 930.112 (Elevator Ordinance)

1.2 Accredited certifications systems for products

Product certification standards
- BRCGS Packaging materials
- International Featured Standard (IFS): Food, Broker, Logistics
- PEFC – Chain of Custody of Forest Based Products
- SN EN ISO 3834-2
- SN EN ISO 3834-3
- SN EN ISO 3834-4
- UNI 11352

Guidelines/Regulations
- SR 930.114 (Pressure Equipment Ordinance 2014/68/EU)
- ECM-certification scheme (VO 445/2011/EU und VO 2019/779/EU)

1.3 Accredited inspection body (type A) for certification of plant- and production data

Guidelines/Regulations
- SR 730.0 Energy Law (EnG) – Art. 9
- SR 730.01 Energy Ordinance (EnV) – Art. 1 par. a, Art. 2, Art. 3 par. 2) Full recording of production facilities for electrical energy > 30 kVA
- SR 730.010.1 DETEC Ordinance on the Guarantee of Origin and Electricity Labelling (HKSV): – par. 1, Art. 1 until 4 and 6
- Guideline for the certification of plant- and production data – Swiss guarantee of origin system
- Guidelines on the Energy Promotion Ordinance (EnFV):
- Comments on the implementation of the feed-in tariff system (EVS) and the one-off tariff (EIV) for photovoltaic systems
- Comments on the implementation of the feed-in tariff system (EVS) for hydropower-, biomass- and wind energy plants.

1.4 Approval by system owner

- ACCREDIA RT-05*
- DPG colour certification (DE)
- QuaTheDA (CH)
- EFfCI
- SODK EAST+ (CH)

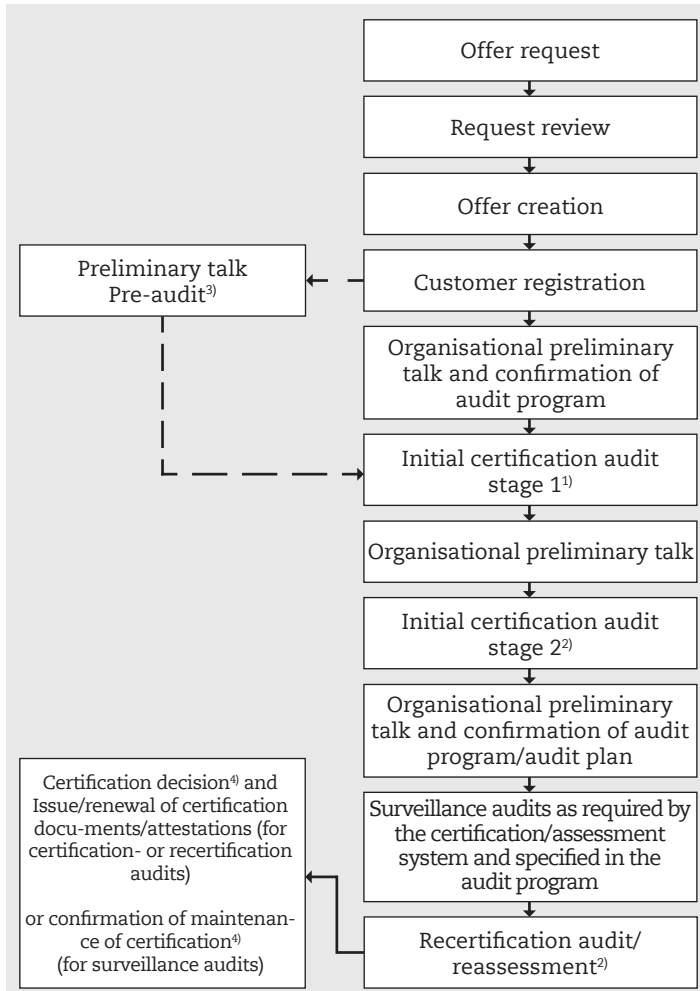*valid for Italy only*

1.5 Non-accredited assessment system

Information on non-accredited products can be found under https://www.sqs.ch/en/services/products.

2. Certification and assessment procedures

To check whether the requirements of a «certified management system under accreditation», a «product certification under accreditation», a «non-accredited assessment system» or for the «performance of inspection activities» are met and maintained, SQS carries out the following procedures:

Certification-/assessment procedures

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                          ┌─────────────────────┐
                          │    Offer request    │
                          └─────────────────────┘
                                    │
                          ┌─────────────────────┐
                          │   Request review    │
                          └─────────────────────┘
                                    │
                          ┌─────────────────────┐
                          │   Offer creation    │
                          └─────────────────────┘
                                    │
┌─────────────────────┐   ┌─────────────────────┐
│  Preliminary talk   │◀──│ Customer registration│
│   Pre-audit[3]      │   └─────────────────────┘
└─────────────────────┘             │
         ┆                ┌─────────────────────┐
         ┆                │ Organisational preliminary│
         ┆                │ talk and confirmation of │
         ┆                │    audit program    │
         ┆                └─────────────────────┘
         ┆                          │
         └ ─ ─ ─ ─ ─ ─ ─ ▶┌─────────────────────┐
                          │ Initial certification audit│
                          │      stage 1[1]     │
                          └─────────────────────┘
                                    │
                          ┌─────────────────────┐
                          │ Organisational preliminary talk│
                          └─────────────────────┘
                                    │
                          ┌─────────────────────┐
                          │ Initial certification audit│
                          │      stage 2[2]     │
                          └─────────────────────┘
                                    │
                          ┌─────────────────────┐
                          │ Organisational preliminary│
                          │ talk and confirmation of audit│
                          │ program/audit plan  │
                          └─────────────────────┘
                                    │
┌─────────────────────┐   ┌─────────────────────┐
│ Certification decision[4] and│  │ Surveillance audits as required by│
│ Issue/renewal of certification│  │ the certification/assessment│
│ docu-ments/attestations (for│◀─│ system and specified in the│
│ certification- or recertification│  │    audit program    │
│      audits)        │   └─────────────────────┘
│                     │             │
│ or confirmation of maintenan-│  ┌─────────────────────┐
│ ce of certification[4]│◀──│ Recertification audit/│
│ (for surveillance audits)│  │   reassessment[2]   │
└─────────────────────┘   └─────────────────────┘
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1) An audit plan is only prepared in advance if the audit lasts more than ½ day or if it has been agreed with the client. For some certification systems, the audit must compulsorily be carried out on-site at the client›s premises.
2) An audit plan is prepared in advance.
3) Optional services
4) Before the decision is made:
   - Major non-conformities must be resolved by the client.
   - For minor non-conformities, corrective action plans must be proposed by the client and accepted by the lead auditor.

Inspection procedures

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┌─────────────────────┐
│   Offer creation    │
└─────────────────────┘
          │
┌─────────────────────┐
│ Customer registration│
└─────────────────────┘
          │
┌─────────────────────┐
│  Conducting the     │
│   inspection[1]     │
└─────────────────────┘
          │
┌─────────────────────┐
│ Preparation of the inspection│
│ report and registration│
└─────────────────────┘
          │
┌─────────────────────┐
│ Repetition of inspection│
└─────────────────────┘
          │
┌─────────────────────┐
│ Preparation of the inspection│
│ report and renewal of│
│    registration     │
└─────────────────────┘
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1) Inspection activities can be successful or unsuccessful. In case of a positive result, the inspection report is issued; in case of a negative result, no inspection report is issued. The management of deviations is not foreseen.

2.1 Additional requirements for integrated, accredited management systems

For integrated management systems (e.g. ISO 9001 in combination with ISO 14001 and/or ISO 45001) the mandatory requirements of IAF MD 11 (document on the application of ISO/IEC 17021-1 for audits of integrated management systems) apply, according to which the audit time depends on the degree of integration of the standards. Changes in this respect compared to the information provided by the client at the time of registration lead to a corresponding adjustment of the audit time.

An integrated management system combines methods and instruments for the compliance with requirements from different areas (e.g. quality, environment, safety) in a uniform structure.

This includes at least an integrated approach to the following normative requirements:
System documentation (processes and applicable documents), policies and objectives, internal audits, improvement mechanisms, management review and system support responsibilities.

3. SQS-certification documents/-attestations

The decision to issue the certification documents/attestations is taken by SQS commission of experts. The certification documents include the name and address of the certified organisation, the scope, the normative base, the Reg. no, the validity period, the issue date and the QR Code.

For certification documents, see the regulation «SQS Services and warranty marks».

4. Validity period and maintenance

The following rules clarify in general terms the validity period of certification/assessment or inspection in different cases and services. For each service provided, SQS issues a offer, which indicates the actual period of validity of the certificates/assessments or inspections, it may vary depending on the product. In some cases, depending on the certification/assessment program, specific product requirements may be specified.

4.1 Accredited certification systems for management systems

For accredited management systems, the validity period of the issued certificate is generally 3 years. The maintenance of the SQS certification/assessment is carried out according to the procedure laid down in Clause 2 of this regulative.

The first surveillance audit after the initial certification must be carried out within 12 months (from the date of release by the expert commission), each additional annual surveillance within a tolerance of +/- 3 months (but at least 1 audit per calendar year).

4.2 Non-accredited assessment systems

Unless otherwise stated in the certification offer, the same prerequisites generally apply to non-accredited assessment systems as to accredited certification systems for management systems.

4.3 Accredited certification systems for products

For product certifications, the validity period of a certification is 1, 3 or 5 years, depending on the rules of the certification system (determined by the owners of the respective regulations).

For possible monitoring activities, the same rules apply as described in section 4.1.

4.4 Accredited inspection activities

For inspection activities, the validity of an inspection depends on the requirements of the respective inspection program and the applicable legal provisions. As a rule, inspections must be repeated in full after this period.

5. Usage of marks

The provisions of the Regulation for «SQS services and warranty marks» apply.

6. Customer registration

The registration signed by the customer corresponds to the contract between SQS and the organisation to be certified.

Alternatively, a customer contract is also acceptable in which the SQS regulations and the applicable SQS «Product rule» are referenced (integral part of the contract).

7. Further provisions

The specific requirements of the applicable product-specific normative basis apply.